

**BASIC OPERATOR THEORY - ASSIGNMENT PROBLEMS**

In the following  $X$  denotes a normed linear space over  $\mathbb{K}$ .

1. Show that  $X' := \mathcal{B}(\mathcal{X}, \mathbb{K})$  is a Banach space.
2. For each  $x \in X$ , let  $\varphi_x(f) = f(x)$  for  $f \in X'$ . Show that  $\varphi_x \in X''$ ,  $\|\varphi_x\| = \|x\|$  for every  $x \in X$  and the map  $x \mapsto \varphi_x$  is linear.
3. Let  $D$  be a dense subset of  $X'$  and  $(\varphi_n)$  be a sequence in  $X''$  such that  $(\|\varphi_n\|)$  is bounded and  $(\varphi_n(f))$  converges for every  $f \in D$ . Show that  $(\varphi_n(f))$  converges for every  $f \in X'$ , and  $\varphi$  defined by  $\varphi(f) := \lim_{n \rightarrow \infty} \varphi_n(f)$ ,  $f \in X'$ , belongs to  $X''$ .
4. Let  $X$  be a finite dimensional normed linear space and  $\{u_1, \dots, u_k\}$  be a basis of  $X$ . For each  $j \in \{1, \dots, k\}$  and  $(\alpha_1, \dots, \alpha_k) \in \mathbb{R}^k$ , let  $f_j\left(\sum_{i=1}^k \alpha_i u_i\right) := \alpha_j$ . Show that  $f_j \in X'$ .
5. Let  $X$  and  $f_j$  be as in Problem 4. Let  $(x_n)$  be a sequence in  $X$  and  $x \in X$ . Show that  $f_j(x_n) \rightarrow f_j(x)$  for each  $j$  if and only if  $\|x_n - x\| \rightarrow 0$ .
6. Let  $X$  be a finite dimensional normed linear space,  $(x_n)$  be a sequence in  $X$  and  $x \in X$ . Show that  $f(x_n) \rightarrow f(x)$  for each  $f \in X'$  if and only if  $\|x_n - x\| \rightarrow 0$ .
7. If  $X$  is an infinite dimensional Hilbert space, show that there exist a sequence  $(x_n)$  in  $X$  and  $x \in X$  such that  $f(x_n) \rightarrow f(x)$  for every  $f \in X'$ , but  $\|x_n - x\| \not\rightarrow 0$ .
8. If  $1 \leq p < \infty$  and  $x \in \ell^p$ , then show that  $\sum_{j=1}^{\infty} x(j)e_j$  converges. Is the conclusion true if  $p = \infty$ ? - Justify your answer.
9. Let  $1 < p < \infty$ . For each  $j \in \mathbb{N}$ , let  $f_j(x) = x(j)$  for  $x \in \ell^p$  and  $j \in \mathbb{N}$ . Show that  $f_j \in (\ell^p)'$  for every  $j \in \mathbb{N}$ . Show also that  $\{f_j : j \in \mathbb{N}\}$  a Schauder basis of  $(\ell^p)'$ .
10. Let  $X$  be a Hilbert space. If  $(x_n)$  in  $X$  is such that  $(x_n)$  converges weakly to  $x$  and  $\|x_n\| \rightarrow \|x\|$ , then prove that  $\|x_n - x\| \rightarrow 0$ .
11. Show that
  - (i) if  $X$  is a normed linear space having a dense separable subspace, then  $X$  is separable;
  - (ii) if  $X$  is having a Schauder basis, then  $X$  is separable.
12. Let  $X$  be an inner product space having a countable orthonormal basis. Is  $X$  separable? (see<sup>1</sup>)

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<sup>1</sup>Example of a nonseparable inner product space with all its orthonormal sets countable, [http://mat.iitm.ac.in/home/mtnair/public\\_1.html/](http://mat.iitm.ac.in/home/mtnair/public_1.html/)

13. Show that the following spaces are separable:  
 (i)  $\ell^p$  for  $1 \leq p < \infty$ , (ii)  $C[a, b]$  with  $1 \leq p \leq \infty$ .
14. Show that the following spaces are not separable:  
 (i)  $\ell^\infty$  (ii)  $L^\infty[a, b]$ , (iii)  $NBV[a, b]$ .
15. Show that every normed linear space which is linearly isometric with a separable space is separable.
16. If  $X$  is reflexive, then  $X'$  is reflexive. Is the converse true? Justify your answer.
17. Show that the following spaces are not reflexive:  
 (i)  $\ell^1$ , (ii)  $\ell^\infty$ , (iii)  $C[a, b]$ , (iv)  $L^1[a, b]$ , (v)  $L^\infty[a, b]$ , (vi)  $NBV[a, b]$ .
18. Does every bounded sequence in  $\ell^1$  has a weakly convergent subsequence?
19. Let  $(x_n)$  is a bounded sequence in  $\ell^p$  with  $1 < p < \infty$ , such that there exists  $x \in \ell^p$  satisfying  $x_n(j) \rightarrow x(j)$  for each  $j \in \mathbb{N}$ . Then  $(x_n)$  converges weakly to  $x$  - Why?
20. Let  $X$  and  $Y$  be normed linear spaces and  $A : X \rightarrow Y$  be a linear operator. Prove:  
 (i) If  $A$  is continuous then for every  $(x_n)$  which converges weakly to  $x$ , the sequence  $(Ax_n)$  converges weakly to  $Ax$ .  
 (ii)  $A$  is continuous if and only if for  $(x_n)$  in  $X$ ,  $\|x_n - x\| \rightarrow 0$  implies  $(Ax_n)$  converges weakly to  $Ax$ .
21. Let  $X$  be Banach space,  $Y$  be a normed linear space and  $(A_n)$  be a sequence in  $BL(X, Y)$ . If for every  $x \in X$ , there exists a  $y \in Y$  denoted by  $y = A(x)$  such that  $(A_n x)$  converges weakly to  $y$ , then prove that

$$A \in BL(X, Y) \quad \text{and} \quad \|A\| \leq \sup_n \|A_n\| < \infty.$$

22. Let  $X$  be a normed linear space . For  $S \subseteq X'$ , let

$$S^a = \{x \in X : f(x) = 0 \forall f \in S\}.$$

Show, if  $X$  is reflexive and  $S^a = \{0\}$ , then  $\text{span}(S)$  is dense in  $X'$ .

23. Suppose  $(f_n)$  is a sequence in  $X'$  which converges weakly to  $f \in X'$ . Show that  $f_n(x) \rightarrow f(x)$  for every  $x \in X$ .
24. Is the converse of the assertion in Problem 23 true?
25. Is  $c_0$  with  $\|\cdot\|_\infty$  reflexive? Why?

26. State whether the operator  $A : \ell^p \rightarrow \ell^p$ ,  $1 \leq p \leq \infty$ , in each of the following is compact or not. Justify the answer.
- (i)  $A : (a_1, a_2, a_3, \dots) \mapsto (\alpha_1 + \alpha_2, \alpha_2 + \frac{1}{2}\alpha_3, \alpha_3 + \frac{1}{3}\alpha_4, \dots)$ .
  - (ii)  $A : (\alpha_1, \alpha_2, \alpha_3, \dots) \mapsto (\frac{1}{2}\alpha_1, \frac{2}{3}\alpha_2, \frac{3}{4}\alpha_3, \dots)$ .
27. Suppose  $P \in BL(X)$  be a projection operator, i.e.,  $P^2 = P$ . Then prove that  $P$  is compact if and only if  $\text{rank } P < \infty$ .
28. Let  $(a_{ij})$  be an infinite matrix of scalars such that  $\sum_{i=1}^{\infty} \sum_{j=1}^{\infty} |a_{ij}|^2 < \infty$ . Show that the map  $(\alpha_i) \mapsto (\beta_i)$  with  $\beta_i = \sum_j k_{ij}\alpha_j$ ,  $i = 1, 2, \dots$ , defines a compact operator on  $\ell^2$ .
29. Let  $X$  and  $Y$  be normed linear spaces and  $A : X \rightarrow Y$  be an injective compact operator. Show that  $A^{-1} : R(A) \rightarrow X$  is continuous iff  $A$  is of finite rank.
30. Is the set  $\{(\alpha_1, \alpha_2, \dots) \in \ell^2 : \sum_{n=1}^{\infty} n^2 |\alpha_n|^2 < \infty\}$  a closed subspace of  $\ell^2$ ? – Justify the answer.
31. State whether the operator in each of the following is compact or not. Justify your answer:
- (i) The diagonal operator on  $\ell^p$  associated with the sequence  $(\frac{1}{n})$ .
  - (ii) The operator  $I + K$ , where  $K$  is a compact operator.
32. Suppose  $A : X \rightarrow Y$  is a compact operator of infinite rank. Then show that there exists a sequence  $(x_n)$  in  $X$  such that  $\|x_n\| = 1$  for all  $n \in \mathbb{N}$ , and  $\|Ax_n\| \rightarrow 0$  as  $n \rightarrow \infty$ .
33. Give an example of a bounded operator on a complex Banach space having no eigenvalues. Justify your claim.
34. Give an example of a normal operator  $A$  on  $\ell^2$  such that  $\sigma_{\text{app}}(A) = [0, 1]$ . Justify your claim.
35. If  $P : X \rightarrow X$  is a projection operator on a Banach space  $X$ , and if  $0 \neq P \neq I$ , then show that  $\sigma(P) = \{0, 1\}$ .
36. Let  $X$  be a complex Banach space,  $A \in BL(X)$ . Prove the following.
- (i) For every  $\mu \in \rho(A)$ ,  $\sigma(A - \mu I)^{-1} = \{\frac{1}{\lambda - \mu} : \lambda \in \sigma(A)\}$ .
  - (ii) If  $X$  is over  $\mathbb{C}$  and  $p(t)$  is a polynomial, then  $\{p(\lambda) : \lambda \in \sigma(A)\} = \sigma(p(A))$ .
37. Let  $A$  be a normal operator on a Hilbert space  $X$ . Show that if  $\mu \in \rho(A)$ , then  $\|A - \mu I\|^{-1} = 1/\text{dist}(\mu, \sigma(A))$ .
38. Let  $H$  be a Hilbert space,  $\mathcal{S}$  be the set of all self adjoint operators on  $H$ ,  $\mathcal{N}$  be the set of all normal operators on  $H$ , and  $\mathcal{U}$  be the set of all unitary operators on  $H$ . Show that
- (i)  $\mathcal{S} \subseteq \mathcal{N}$  and (ii)  $\mathcal{U} \subseteq \mathcal{N}$ .
- Give examples to show that the inclusions are proper if  $\dim(H) \geq 2$ .

39. Let  $A = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 2 & 2 & 0 & 2 \\ 3 & 0 & 3 & 1 \\ 4 & 2 & 1 & 4 \end{bmatrix}$ . State whether the following statements are true or false, and justify the answers :
- (i) There exists an eigenvalue  $\lambda$  of  $A$  such that  $\lambda \leq 1$
  - (ii) If  $\lambda$  is an eigenvalue of  $A$ , then  $\lambda \leq 1$ .
  - (iii) There exists an eigenvalue  $\lambda$  of  $A$  such that  $\lambda \geq 4$
  - (iv) If  $\lambda$  is an eigenvalue of  $A$ , then  $\lambda \geq 4$ .
40. Show that the approximate eigen spectrum of a bounded operator is a closed set.
41. Find the eigen-spectrum, approximate eigen-spectrum, and spectrum of the operator  $A$  defined below:  $X = C[a, b]$  with  $\|\cdot\|_\infty$ , and  $(Ax)(t) = tx(t)$  for  $t \in [a, b]$ ,  $x \in X$ .
42. In each of the following, give an example and justify your claim:
- (i) An approximate eigenvalue (of an operator) which is neither an eigenvalue nor the limit of a sequence of eigenvalues.
  - (ii) A self adjoint operator having no eigenvalues.
  - (iii) A compact operator on  $L^2[0, 1]$  having no eigenvalues.
  - (iv) A bounded operator on an inner product space having no adjoint.
43. If  $A$  is a compact operator on a Hilbert space and  $\lambda \neq 0$ , then show that there exists  $c > 0$  such that  $\|Ax - \lambda x\| \geq c\|x\|$  for all  $x \in N(A - \lambda I)^\perp$ .
44. Let  $X$  and  $Y$  be Hilbert spaces and  $T \in \mathcal{K}(X, Y)$ . Show that there exists a sequence  $(T_n)$  in  $\mathcal{B}(X, Y)$  of finite rank operators such that  $\|T - T_n\| \rightarrow 0$  as  $n \rightarrow \infty$ .
45. Let  $X$  and  $Y$  be Hilbert spaces,  $T \in BL(X, Y)$  and  $y \in Y$ . Show that there exists  $x \in X$  such that  $\|Tx - y\| = \inf\{\|Tu - y\| : u \in X\}$  if and only if there exists  $x_0 \in X$  such that  $T^*Tx_0 = T^*y$ .
46. Let  $X$  and  $Y$  be Hilbert spaces and  $T \in BL(X, Y)$ . Let  $P : Y \rightarrow Y$  be the orthogonal projection onto the closure of  $R(A)$ . Prove the following:
- (i)  $D := \{y \in Y : Py \in R(A)\}$  is dense in  $Y$ .
  - (ii) For each  $y \in D$ , there exists a unique  $x^\dagger \in N(A)^\perp$  such that  $Tx^\dagger = Py$ .
  - (iii) The map  $T^\dagger : D \rightarrow X$  defined by  $T^\dagger y = x^\dagger(y)$ ,  $y \in D$  is a closed operator.
  - (iv)  $T^\dagger$  is continuous iff and only if  $R(A)$  is closed in  $Y$ .